

#3 GAU 2161
24530-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #3

In re Application of:

Kenji Hito

Application No.: 10/047,531

Filed: October 23, 2001

For: INVESTING METHOD, DEVICE FOR INVESTING AND INVESTING SYSTEM

Assistant Commissioner for Patents
Washington, D.C. 20231



Group Art Unit: 2161

Examiner: To be assigned

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May 6, 2002

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicant is filing this Information Disclosure Statement and the accompanying form PTO-1449 along with copies of the prior art therein identified.

Applicant submits that this submission is prior to the mailing of a first Office Action on the merits. Thus, no fee is believed due.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Mark Montague".

Mark Montague
Reg. No. 36,612

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on May 6, 2002

Date of Deposit

Mark Montague

Attorney

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 03-3415.

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PTO/SB/08B (10-96)

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Substitute for form 1449B/PTO				Compleat if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/047,531
				Filing Date	October 23, 2001
				First Named Inventor	Kenji Hito
				Group Art Unit	2161
				Examiner Name	To be assigned
Sheet	2	of	2	Attorney Docket Number	24530-00

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		NIKKEI MONEY DIGITAL; 5/1998 NEWS	
		Trust Bank Asset Management Study Group Asset Management Handbook	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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